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NOTICE OF ALLOWANCE AND FEE(S) DUE

40518

7590

09/27/2010

LEVINE BAGADE HAN LLP 2400 GENG ROAD, SUITE 120 PALO ALTO, CA 94303 EXAMINER

YABUT, DIANE D

ART UNIT PAPER NUMBER

3734

DATE MAILED: 09/27/2010

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/612.491 | 07/01/2003 | Vahid Saadat | USGINZ02112 | 3557 |

TITLE OF INVENTION: METHODS FOR REDUCTION OF A GASTRIC LUMEN

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | YES | \$755 | \$300 | \$0 | \$1055 | 12/27/2010 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where n

| maintenance fee notifications. CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) | | | | tion of maintenance fees will be mailed to the current correspondence address w correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, much ave its own certificate of mailing or transmission. | | | |
|--|---|--|---|---|---|---|--|
| | 7590 09/27. ADE HAN LLP DAD, SUITE 120 CA 94303 | /2010 | I l St ad tra | nereby certify that the ates Postal Service valuessed to the Mai | is Fee(vith suf l Stop | e of Mailing or Transı s) Transmittal is being ficient postage for firs ISSUE FEE address 1) 273-2885, on the da | deposited with the United t class mail in an envelope above, or being facsimile |
| | | | | | | | (Depositor's name) |
| | | | | | | | (Signature) |
| | | | L | | | | (Date) |
| APPLICATION NO. | FILING DATE | | FIRST NAMED INVENTO | DR . | ATTO | RNEY DOCKET NO. | CONFIRMATION NO. |
| 10/612,491 TITLE OF INVENTION | 07/01/2003 : METHODS FOR RED | UCTION OF A GASTRI | Vahid Saadat IC LUMEN | | 1 | USGINZ02112 | 3557 |
| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUI | E PREV. PAID ISSU | E FEE | TOTAL FEE(S) DUE | DATE DUE |
| nonprovisional | YES | \$755 | \$300 | \$0 | | \$1055 | 12/27/2010 |
| EXAM | IINER | ART UNIT | CLASS-SUBCLASS | ٦ | | | |
| YABUT, I | DIANE D | 3734 | 606-139000 | _ | | | |
| "Fee Address" ind PTO/SB/47; Rev 03-0 Number is required. 3. ASSIGNEE NAME A PLEASE NOTE: Unl | ND RESIDENCE DATA less an assignee is identi h in 37 CFR 3.11. Comp | 'Indication form ed. Use of a Customer A TO BE PRINTED ON | data will appear on the | to 3 registered pater tively, gle firm (having as a r agent) and the nam torneys or agents. If be printed. ype) patent. If an assign n assignment. | memb es of u no nam | p to le is 3dentified below, the do | ocument has been filed for |
| | are submitted: No small entity discount p | 4l permitted) | b. Payment of Fee(s): (Pl A check is enclosed Payment by credit c | ease first reapply a ard. Form PTO-2038 | ny prev | viously paid issue fee s | |
| 5. Change in Entity Star | , | d above) | The Director is here overpayment, to De | posit Account Numb | er | (enclose ar | n extra copy of this form). |
| NOTE: The Issue Fee an | s SMALL ENTITY statu d Publication Fee (if requeecords of the United Sta | | b. Applicant is no lod from anyone other than office. | | | | R 1.27(g)(2). e assignee or other party in |
| Authorized Signature | | | | Date | | | |
| Typed or printed name | | | | | | | |
| This collection of inform an application. Confiden submitting the complete this form and/or suggesti Box 1450, Alexandria, V Alexandria, Virginia 223 | tiality is governed by 35 d application form to the ions for reducing this but 7 riginia 22313-1450. DC | FR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary den, should be sent to th ONOT SEND FEES OR | on is required to obtain on 1.14. This collection is a depending upon the include Chief Information Officompleted FORMS | r retain a benefit by the stimated to take 12 lividual case. Any concer, U.S. Patent and TO THIS ADDRESS | he publ minutes omment Traden S. SENI | lic which is to file (and s to complete, includin is on the amount of tin nark Office, U.S. Depa D TO: Commissioner f | by the USPTO to process) g gathering, preparing, and ne you require to complete utment of Commerce, P.O. For Patents, P.O. Box 1450, |

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| 40518 75 | 90 09/27/2010 | | EXAM | INER |
| LEVINE BAGA | DE HAN LLP | YABUT, DIANE D | | |
| 2400 GENG ROAL | , | | ART UNIT | PAPER NUMBER |
| PALO ALTO, CA 94303 | | | 3734 | |
| | | DATE MAILED: 09/27/2010 | | |

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 423 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 423 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

| | Application No. | Applicant(s) | |
|---|---|---|--------|
| | Application No. | Applicant(s) | |
| Notice of Allowability | 10/612,491 | SAADAT ET AL. | |
| Notice of Allowability | Examiner | Art Unit | |
| | DIANE YABUT | 3734 | |
| The MAILING DATE of this communication app All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85 NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313 | (OR REMAINS) CLOSED) or other appropriate comr (IGHTS. This application is | in this application. If not included nunication will be mailed in due cours | |
| 1. \boxtimes This communication is responsive to <u>Pre-brief conference</u> | request filed 8/16/2010. | | |
| 2. The allowed claim(s) is/are <u>1-7,9,16,26,27 and 32-40</u> . | | | |
| 3. ☐ Acknowledgment is made of a claim for foreign priority u a) ☐ All b) ☐ Some* c) ☐ None of the: 1. ☐ Certified copies of the priority documents have | e been received. | , , , , | |
| 2. Certified copies of the priority documents have | • • • | | |
| 3. Copies of the certified copies of the priority do | ocuments have been receiv | ed in this national stage application fr | om the |
| International Bureau (PCT Rule 17.2(a)). | | | |
| * Certified copies not received: | | | |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. | | le a reply complying with the requiren | nents |
| A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which giv | | | E OF |
| 5. CORRECTED DRAWINGS (as "replacement sheets") mu | st be submitted. | | |
| (a) 🔲 including changes required by the Notice of Draftsper | son's Patent Drawing Revi | ew (PTO-948) attached | |
| 1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date | <u>.</u> | | |
| (b) ☐ including changes required by the attached Examiner Paper No./Mail Date | 's Amendment / Comment | or in the Office action of | |
| Identifying indicia such as the application number (see 37 CFR areach sheet. Replacement sheet(s) should be labeled as such in | | | of |
| DEPOSIT OF and/or INFORMATION about the depo- attached Examiner's comment regarding REQUIREMENT | | | ne |
| | | | |
| Attachment(s) | 5 🗆 Nation of | Informati Detant Application | |
| Notice of References Cited (PTO-892) Dotice of Draftperson's Patent Drawing Review (PTO-948) | <u> </u> | Informal Patent Application | |
| Information Disclosure Statements (PTO/SB/08), | Paper No | Summary (PTO-413), b./Mail Date 's Amendment/Comment | |
| Paper No./Mail Date | | | |
| 4. Examiner's Comment Regarding Requirement for Deposit of Biological Material | | s Statement of Reasons for Allowanc | е |
| | 9. | <u>_</u> · | |
| | /TODD E. MA | | |
| | Supervisory P | atent Examiner, Art Unit 3734 | |
| | | | |

Application/Control Number: 10/612,491 Page 2

Art Unit: 3734

DETAILED ACTION

Allowable Subject Matter

- 1. The following is a statement of reasons for the indication of allowable subject matter: None of the prior art alone or in combination teaches or suggests ejecting an anchor comprising a sleeve of braided material and an eyelet for attaching the anchor to a suture from a distal tip of a needle or piercing element.
- 2. Claims 1-7, 9, 16, 26-27, and 32-40 allowed.
- 3. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to DIANE YABUT whose telephone number is (571)272-6831. The examiner can normally be reached on M-F: 9AM-4PM EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Todd Manahan can be reached on (571) 272-4713. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Art Unit: 3734

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Diane Yabut/ Examiner, Art Unit 3734

> /TODD E. MANAHAN/ Supervisory Patent Examiner, Art Unit 3734